

## SECTION V

**Zootechnical certificate for trade in purebred breeding animals of the equine species (*Equus caballus* and *Equus asinus*), in accordance with Chapter I of Part 2 of Annex V to Regulation (EU) 2016/1012/**

| PART I                                                                                                                                                                                                                                               |                                                                                                                           |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|
| 1. Name of issuing breed society or competent authority<br>(provide contact details and, where available, a reference to the website)                                                                                                                |                                                                                                                           |
| 2. Name of breeding book                                                                                                                                                                                                                             | 3. Name of breed                                                                                                          |
| 4. Name and commercial name of animal <sup>(1)</sup> and code of country of birth <sup>(2)</sup>                                                                                                                                                     | 5.1. Individual identification number <sup>(3)</sup><br>5.2. Unique Life Number <sup>(4)</sup><br><br>□□□-□□□-□□□ □□□ □□□ |
| 6. Breeding book number <sup>(5)</sup>                                                                                                                                                                                                               |                                                                                                                           |
| 7. Identification of animal <sup>(1)</sup> <sup>(6)</sup><br>7.1. Transponder code <sup>(1)</sup><br>Reading system (if not ISO 11784) <sup>(1)</sup><br>Bar-Code <sup>(1)</sup><br>7.2. Alternative method for identity verification <sup>(1)</sup> | <br><br>□□□ □□□ □□□ □□□ □□□                                                                                               |
| 8. Date of birth of animal<br><br>(use format dd/mm/yyyy)                                                                                                                                                                                            | 9. Country of birth of animal                                                                                             |
| 10. Name, address and email address <sup>(1)</sup> of breeder                                                                                                                                                                                        |                                                                                                                           |

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|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|---------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|--|--|
| 11. Pedigree <sup>(7)</sup> <sup>(8)</sup>                                                                                                                                                                                                             |                                                                    |                                                                                       |                                                                                       |  |  |
| 11.1. Sire<br><br>Breeding book number and section                                                                                                                                                                                                     | 11.1.1. Paternal Grandsire<br><br>Breeding book number and section | 11.1.1.1. <sup>(1)</sup> Paternal Grand-Grandsire<br>Breeding book number and section |                                                                                       |  |  |
|                                                                                                                                                                                                                                                        |                                                                    | 11.1.1.2. <sup>(1)</sup> Paternal Grand-Granddam<br>Breeding book number and section  |                                                                                       |  |  |
|                                                                                                                                                                                                                                                        | 11.1.2. Paternal Granddam<br><br>Breeding book number and section  | 11.1.2.1. <sup>(1)</sup> Paternal Grand-Grandsire<br>Breeding book number and section |                                                                                       |  |  |
|                                                                                                                                                                                                                                                        |                                                                    | 11.1.2.2. <sup>(1)</sup> Paternal Grand-Granddam<br>Breeding book number and section  |                                                                                       |  |  |
|                                                                                                                                                                                                                                                        | 11.2. Dam<br><br>Breeding book number and section                  | 11.2.1. Maternal Grandsire<br><br>Breeding book number and section                    | 11.2.1.1. <sup>(1)</sup> Maternal Grand-Grandsire<br>Breeding book number and section |  |  |
|                                                                                                                                                                                                                                                        |                                                                    |                                                                                       | 11.2.1.2. <sup>(1)</sup> Maternal Grand-Granddam<br>Breeding book number and section  |  |  |
| 11.2.2. Maternal Granddam<br><br>Breeding book number and section                                                                                                                                                                                      |                                                                    | 11.2.2.1. <sup>(1)</sup> Maternal Grand-Grandsire<br>Breeding book number and section |                                                                                       |  |  |
|                                                                                                                                                                                                                                                        |                                                                    | 11.2.2.2. <sup>(1)</sup> Maternal Grand-Granddam<br>Breeding book number and section  |                                                                                       |  |  |
| 12.1. Done at<br><br><i>(insert place of issue)</i>                                                                                                                                                                                                    |                                                                    | 12.2. Done on<br><br><i>(insert date of issue in format dd/mm/yyyy)</i>               |                                                                                       |  |  |
| 12.3. Name and capacity of the signatory<br><br><i>(insert in capital letters name and capacity of the individual <sup>(9)</sup> authorised by the issuing breed society or competent authority to sign this part of the zootechnical certificate)</i> |                                                                    |                                                                                       | 12.4. Signature                                                                       |  |  |

| PART II                                                                                                                                                                                                                                 |                                                                      |                                                                                                                                                                                                                                                                         |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1.1. Individual identification number <sup>(10)</sup>                                                                                                                                                                                   |                                                                      | 2. Identification of animal <sup>(12)</sup><br>2.1. Transponder code <sup>(13)</sup> □□□ □□□ □□□ □□□ □□□<br>Reading system ( <i>if not ISO 11784</i> ) <sup>(13)</sup><br>Bar-Code <sup>(13)</sup><br>2.2. Alternative method for identity verification <sup>(12)</sup> |
| 1.2. Unique Life Number <sup>(11)</sup> □□□-□□□-□□□□□□□□□□                                                                                                                                                                              |                                                                      |                                                                                                                                                                                                                                                                         |
| 3. Sex                                                                                                                                                                                                                                  |                                                                      |                                                                                                                                                                                                                                                                         |
| 4. Class within the main section of the breeding book <sup>(13)</sup>                                                                                                                                                                   |                                                                      | 5. Name, address and email address <sup>(13)</sup> of owner <sup>(16)</sup>                                                                                                                                                                                             |
| 4.1. Name of breeding book <sup>(14)</sup>                                                                                                                                                                                              | 4.2. Class within the main section <sup>(15)</sup>                   |                                                                                                                                                                                                                                                                         |
| 6. Additional information <sup>(13)</sup> <sup>(17)</sup> <sup>(18)</sup>                                                                                                                                                               |                                                                      |                                                                                                                                                                                                                                                                         |
| 6.1. Results of performance testing                                                                                                                                                                                                     |                                                                      |                                                                                                                                                                                                                                                                         |
| 6.2. Up-to-date results of the genetic evaluation carried out last on<br>( <i>insert date in format dd/mm/yyyy</i> )                                                                                                                    |                                                                      |                                                                                                                                                                                                                                                                         |
| 6.3. Genetic defects and genetic peculiarities of animal in relation to the breeding programme                                                                                                                                          |                                                                      |                                                                                                                                                                                                                                                                         |
| 6.4. System of identity verification and result <sup>(13)</sup> <sup>(19)</sup> <sup>(20)</sup>                                                                                                                                         |                                                                      | 6.5. Results of parentage control <sup>(13)</sup> <sup>(19)</sup> <sup>(21)</sup>                                                                                                                                                                                       |
| 7. Insemination/mating <sup>(13)</sup> <sup>(14)</sup>                                                                                                                                                                                  |                                                                      |                                                                                                                                                                                                                                                                         |
| 7.1. Date ( <i>use format dd/mm/yyyy</i> )                                                                                                                                                                                              |                                                                      |                                                                                                                                                                                                                                                                         |
| 7.2. No of covering certificate <sup>(24)</sup>                                                                                                                                                                                         |                                                                      |                                                                                                                                                                                                                                                                         |
| 7.3. Identification of the donor male                                                                                                                                                                                                   |                                                                      |                                                                                                                                                                                                                                                                         |
| 7.3.1. Individual identification number <sup>(10)</sup>                                                                                                                                                                                 |                                                                      |                                                                                                                                                                                                                                                                         |
| 7.3.2. Unique Life Number <sup>(11)</sup> □□□-□□□-□□□□□□□□□□                                                                                                                                                                            |                                                                      |                                                                                                                                                                                                                                                                         |
| 7.3.3. System of identity verification and result <sup>(13)</sup> <sup>(19)</sup> <sup>(20)</sup>                                                                                                                                       |                                                                      | 7.3.4. Results of parentage control <sup>(13)</sup>                                                                                                                                                                                                                     |
| 8.1. Done at<br>( <i>insert place of issue</i> )                                                                                                                                                                                        | 8.2. Done on<br>( <i>insert date of issue in format dd/mm/yyyy</i> ) |                                                                                                                                                                                                                                                                         |
| 8.3. Name and capacity of the signatory<br>( <i>insert in capital letters name and capacity of the individual <sup>(25)</sup> authorised by the issuing breed society or competent authority to sign this part of the certificate</i> ) |                                                                      | 8.4. Signature                                                                                                                                                                                                                                                          |

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- (1) Keep empty if not applicable.
  - (2) Enter country code where required by international agreements on the breed.
  - (3) The individual identification number in accordance with point 3 of Chapter I of Part 1 of Annex II to Regulation (EU) 2016/1012 of the European Parliament and of the Council, referred to as ‘unique code’ in Article 114(1)(a) of Regulation (EU) 2016/429 of the European Parliament and of the Council, and recorded in accordance with Article 8(2) of Implementing Regulation (EU) 2021/963.
  - (4) Unique life number as defined in point (o) of Article 2 of Implementing Regulation (EU) 2015/262, if assigned in accordance with that Implementing Regulation
  - (5) Required if different from the individual identification number or unique life number assigned in accordance with Implementing Regulation (EU) 2015/262.
  - (6) Not required if Part I of the zootechnical certificate is an integral part of the single lifetime identification document issued by a breed society. If the single lifetime identification document was issued in accordance with Implementing Regulation (EU) 2015/262, the unique life number as defined in point (o) of Article 2 of that Implementing Regulation shall be stated.
  - (7) If necessary, include additional generations.
  - (8) Enter the individual identification number in accordance with point 3 of Chapter I of Part 1 of Annex II to Regulation (EU) 2016/1012, referred to as ‘unique code’ in Article 114(1)(a) of Regulation (EU) 2016/429. If the individual identification number is either not available or different from the number under which the animal is entered in the breeding book, enter the breeding book number.
  - (9) That individual shall be representative of the breed society or competent authority referred to in Article 30(2)(b) of Regulation (EU) 2016/1012.
  - (10) The individual identification number in accordance with point 3 of Chapter I of Part 1 of Annex II to Regulation (EU) 2016/1012, referred to as ‘unique code’ in Article 114(1)(a) of Regulation (EU) 2016/429 of the European Parliament and of the Council, and recorded in accordance with Article 6(2) of Implementing Regulation (EU) 2021/963.
  - (11) Unique life number as defined point (o) of Article 2 of Implementing Regulation (EU) 2015/262, if assigned in accordance with that Implementing Regulation.
  - (12) Not required if information corresponds to information in point 7 of Part I and Parts I and II are an integrated whole and indivisible and contained in or attached to the single lifetime identification document. If the single lifetime identification document was issued in accordance with Implementing Regulation (EU) 2015/262, the unique life number as defined in point (o) of Article 2 of that Regulation shall be stated.
  - (13) Keep empty if not applicable.
  - (14) Required if different from point 2 of Part I.
  - (15) Not required where this information is provided in Section V of the identification document issued in accordance with Commission Implementing Regulation (EU) 2015/262.
  - (16) Not required if information on the owner is available and up-to-date in other parts of the single lifetime identification document.
  - (17) If necessary use additional paper.
  - (18) If that genetic information can be accessed on a website, a reference to that website may be provided instead, if authorised by the competent authority in accordance with Article 32(3) of Regulation (EU) 2016/1012.
  - (19) Based on DNA analysis or analysis of its blood group.
  - (20) Required in accordance with Article 22(1) of Regulation (EU) 2016/1012 for purebred breeding animals of the equine species used for the collection of semen for artificial insemination. It may be required by breed societies in accordance with Article 22(2) of Regulation (EU) 2016/1012 for purebred breeding animals of the equine species used for the collection of oocytes and embryos. Indicate details or the case number referring to the database where the details are available.
  - (21) If required by the breeding programme.
  - (22) Required in the case of pregnant females. Information may be indicated in a separate document.
  - (23) Delete as appropriate.
  - (24) If not applicable, provide results of parentage control in point 7.3.4.
  - (25) That individual shall be a representative of the breed society or competent authority referred to in Article 30(2)(b) of Regulation (EU) 2016/1012.